

SRL:SEC:SE:2019-20/118

20 January, 2020

The Manager  
Listing Department,  
National Stock Exchange of India Limited  
**(Symbol: SPENCERS)**  
Exchange Plaza, 5<sup>th</sup> Floor  
Plot No. C/1, G-Block  
Bandra-Kurla Complex  
Bandra (East),  
Mumbai – 400 051

The General Manager  
Department of Corporate Service,  
BSE Limited  
**(Scrip Code: 542337)**  
Phiroze Jeejeebhoy Tower  
Dalal Street  
Mumbai – 400 001

The Secretary  
The Calcutta Stock Exchange Limited  
7, Lyons Range  
Kolkata – 700 001

Dear Sir,

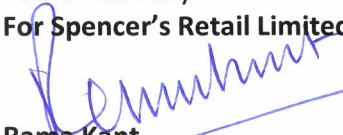
**Sub: Shareholding pattern for the quarter ended 31 December, 2019**

Pursuant to Regulation 31 of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, we are enclosing herewith the shareholding pattern for the quarter ended on 31st December, 2019.

This is for your information and record.

Thanking you,

Yours Faithfully  
For Spencer's Retail Limited

  
Rama Kant  
Company Secretary  
(FCS 4818)



**Spencer's Retail Limited**

(Formerly Known as RP-SG Retail Limited)

Regd. Office: Duncan House, 1st Floor, 31, Netaji Subhas Road, Kolkata-700 001

Tel: +91 33 6625 7600 Web: [www.spencersretail.com](http://www.spencersretail.com)

CIN: L74999WB2017PLC219355

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Spencer's Retail Limited
2	Scrip Code/Name of Scrip/Class of Security: 542337
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending - 31st December, 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

*Permitted*



**Spencer's Retail Limited**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
								(X)	(XI)=	(XII)								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)	(X)	(XI)=	(XII)	(XIII)	(XIV)					
(A)	Promoter & Promoter Group	13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	0	0	0	0	0	43508904
(B)	Public	49735	36025322	0	0	36025322	45.2954	36025322	0	36025322	45.2954	0	0	NA	NA	0	0	35220774
(C)	Non Promoter - Non Public					0			0			0	0	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA			0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA			0
	Total	49748	79534226	0	0	79534226	100	79534226	0	79534226	100	0	0	0	0	0	0	78729678

*Permit*



**Spencer's Retail Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including securities convertible as a % of full conversion of convertible securities)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)		No. (a)	As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Indian</b>																	
(a)	Individuals / Hindu Undivided Family		5	170530	0	0	170530	0.2144	170530	0	170530	0.2144	0	0.2144	0	0	0	170530
	Sanjiv Goenka	AEFPG4689G	1	80876	0	0	80876	0.1017	80876	0	80876	0.1017	0	0.1017	0	0	0	80876
	Shashwat Goenka	AOUPG0553L	1	66844	0	0	66844	0.084	66844	0	66844	0.084	0	0.084	0	0	0	66844
	Preeti Goenka	AEFPG4690B	1	15133	0	0	15133	0.019	15133	0	15133	0.019	0	0.019	0	0	0	15133
	Sanjiv Goenka Huf	AAFHS9493E	1	7377	0	0	7377	0.0093	7377	0	7377	0.0093	0	0.0093	0	0	0	7377
	Avarna Jain	AHFG0255P	1	300	0	0	300	0.0004	300	0	300	0.0004	0	0.0004	0	0	0	300
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)		8	43338374	0	0	43338374	54.4902	43338374	0	43338374	54.4902	0	54.4902	0	0	0	43338374
	Bodies Corporate		8	43338374	0	0	43338374	54.4902	43338374	0	43338374	54.4902	0	54.4902	0	0	0	43338374
	Rainbow Investments Limited	AAACR3915Q	1	38032979	0	0	38032979	47.8196	38032979	0	38032979	47.8196	0	47.8196	0	0	0	38032979
	Stel Holdings Limited	AAACS3332H	1	1496082	0	0	1496082	1.8811	1496082	0	1496082	1.8811	0	1.8811	0	0	0	1496082
	Castor Investments Limited	AAFCC9163J	1	1200584	0	0	1200584	1.5095	1200584	0	1200584	1.5095	0	1.5095	0	0	0	1200584
	Phillips Carbon Black Limited	AABCP5762E	1	1011718	0	0	1011718	1.2721	1011718	0	1011718	1.2721	0	1.2721	0	0	0	1011718
	Saregama India Limited	AAACT9815B	1	755992	0	0	755992	0.9505	755992	0	755992	0.9505	0	0.9505	0	0	0	755992
	Integrated Coal Mining Limited	AAACI5584L	1	645218	0	0	645218	0.8112	645218	0	645218	0.8112	0	0.8112	0	0	0	645218
	Kolkata Metro Networks Limited	AABCR2354Q	1	171000	0	0	171000	0.215	171000	0	171000	0.215	0	0.215	0	0	0	171000
	Dotex Merchandise Private Limited	AAACD9470A	1	24801	0	0	24801	0.0312	24801	0	24801	0.0312	0	0.0312	0	0	0	24801
	Sub Total (A)(1)		13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	54.7046	0	0	0	43508904
<b>2</b>	<b>Foreign</b>																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		13	43508904	0	0	43508904	54.7046	43508904	0	43508904	54.7046	0	54.7046	0	0	0	43508904

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

*Remunant*



**Spencer's Retail Limited**

**Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group**

Details of Shares which remain unclaimed for Promoter & Promoter Group				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
NIL				

*Permitt*



**Spencer's Retail Limited**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities assumed full conversion of convertible securities)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form				
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)		As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total									
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		12	3824846	0	0	3824846	4.8091	3824846	0	3824846	4.8091	0	4.8091	0	0	NA	NA	3823205
	Canara Robeco Mutual Fund A/C Canara Robeco Emerging Equities	AAATC3901G	1	1938451	0	0	1938451	2.4373	1938451	0	1938451	2.4373	0	2.4373	0	0	NA	NA	1938451
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds		2	1674650	0	0	1674650	2.1056	1674650	0	1674650	2.1056	0	2.1056	0	0	NA	NA	1674650
	Eq India Fund	AAATE7835M	1	1050000	0	0	1050000	1.3202	1050000	0	1050000	1.3202	0	1.3202	0	0	NA	NA	1050000
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor		38	4907171	0	0	4907171	6.1699	4907171	0	4907171	6.1699	0	6.1699	0	0	NA	NA	4903451
	India Insight Value Fund	AACC16838F	1	2340000	0	0	2340000	2.9421	2340000	0	2340000	2.9421	0	2.9421	0	0	NA	NA	2340000
(f)	Financial Institutions / Banks		20	191513	0	0	191513	0.2408	191513	0	191513	0.2408	0	0.2408	0	0	NA	NA	186828
(g)	Insurance Companies		10	1922269	0	0	1922269	2.4169	1922269	0	1922269	2.4169	0	2.4169	0	0	NA	NA	1918879
	Life Insurance Corporation Of India	AAACL0582H	1	1326769	0	0	1326769	1.6682	1326769	0	1326769	1.6682	0	1.6682	0	0	NA	NA	1326769
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		5	9598	0	0	9598	0.0121	9598	0	9598	0.0121	0	0.0121	0	0	NA	NA	568
	Foreign Bank		5	9598	0	0	9598	0.0121	9598	0	9598	0.0121	0	0.0121	0	0	NA	NA	568
	<b>Sub Total (B)(1)</b>		<b>87</b>	<b>12530047</b>	<b>0</b>	<b>0</b>	<b>12530047</b>	<b>15.7543</b>	<b>12530047</b>	<b>0</b>	<b>12530047</b>	<b>15.7543</b>	<b>0</b>	<b>15.7543</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>12507581</b>
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Central Government / State Government(s)		2	6301	0	0	6301	0.0079	6301	0	6301	0.0079	0	0.0079	0	0	NA	NA	5010
	<b>Sub Total (B)(2)</b>		<b>2</b>	<b>6301</b>	<b>0</b>	<b>0</b>	<b>6301</b>	<b>0.0079</b>	<b>6301</b>	<b>0</b>	<b>6301</b>	<b>0.0079</b>	<b>0</b>	<b>0.0079</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>5010</b>
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals			0	0														
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		45872	9655811	0	0	9655811	12.1404	9655811	0	9655811	12.1404	0	12.1404	0	0	NA	NA	9229547
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		30	4875688	0	0	4875688	6.1303	4875688	0	4875688	6.1303	0	6.1303	0	0	NA	NA	4875688
	Radhakishan S Damani	AAGFD5938A		1661324	0	0	1661324	2.0888	1661324	0	1661324	2.0888	0	2.0888	0	0	NA	NA	1661324
(b)	NBFCs registered with RBI		3	3180	0	0	3180	0.004	3180	0	3180	0.004	0	0.004	0	0	NA	NA	3180
	Trust Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Any Other (Specify)		3741	8954295	0	0	8954295	11.2584	8954295	0	8954295	11.2584	0	11.2584	0	0	NA	NA	8599768
	IEPF		1	99992	0	0	99992	0.1257	99992	0	99992	0.1257	0	0.1257	0	0	NA	NA	99992
	Trusts		9	43627	0	0	43627	0.0549	43627	0	43627	0.0549	0	0.0549	0	0	NA	NA	43627
	Foreign Nationals		7	26612	0	0	26612	0.0335	26612	0	26612	0.0335	0	0.0335	0	0	NA	NA	10127
	Hindu Undivided Family		1558	1173626	0	0	1173626	1.4756	1173626	0	1173626	1.4756	0	1.4756	0	0	NA	NA	1173626
	Foreign Companies		28	58220	0	0	58220	0.0732	58220	0	58220	0.0732	0	0.0732	0	0	NA	NA	0
	Non Resident Indians (Non Repat)		330	202305	0	0	202305	0.2544	202305	0	202305	0.2544	0	0.2544	0	0	NA	NA	202305
	Non Resident Indians (Repat)		926	835327	0	0	835327	1.0503	835327	0	835327	1.0503	0	1.0503	0	0	NA	NA	576230
	Unclaimed Shares		1	78066	0	0	78066	0.0982	78066	0	78066	0.0982	0	0.0982	0	0	NA	NA	78066
	Clearing Member		104	348324	0	0	348324	0.438	348324	0	348324	0.438	0	0.438	0	0	NA	NA	348324
	Bodies Corporate		777	6088196	0	0	6088196	7.6548	6088196	0	6088196	7.6548	0	7.6548	0	0	NA	NA	6067471
	Bank Capital Markets Limited	AABC4140N	1	1741508	0	0	1741508	2.1896	1741508	0	1741508	2.1896	0	2.1896	0	0	NA	NA	1741508
	<b>Sub Total (B)(3)</b>		<b>49646</b>	<b>23488974</b>	<b>0</b>	<b>0</b>	<b>23488974</b>	<b>29.5332</b>	<b>23488974</b>	<b>0</b>	<b>23488974</b>	<b>29.5332</b>	<b>0</b>	<b>29.5332</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>22708183</b>
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>49735</b>	<b>36025322</b>	<b>0</b>	<b>0</b>	<b>36025322</b>	<b>45.2954</b>	<b>36025322</b>	<b>0</b>	<b>36025322</b>	<b>45.2954</b>	<b>0</b>	<b>45.2954</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>35220774</b>

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

*Permitted*



**Spencer's Retail Limited**

**Annexure to Table III- A- Details of the shareholders acting as persons in Concert for Public**

Details of the shareholders acting as persons in Concert for Public			
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
NIL			

*Permit*



## Spencer's Retail Limited

Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders, outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.

### Details of Shares which remain unclaimed for Public

Serial No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed suspense account
1	Unclaimed Shares in Suspense Account	1	78066

*Permitt*





**Spencer's Retail Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including securities convertible assuming full conversion of convertible securities)	ing, as a % of total Shares held	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of share:

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

*Remit*



**Spencer's Retail Limited**

**Table V - Statement showing details of significant beneficial owners**

Sr. No.	Detail of Significant Beneficial Owner - I			Detail of Registered Owner -II			Particulars of shares in which significant beneficial interest is held by beneficial owner -III		Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN	Nationality	Name	PAN	Nationality	Number of shares	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	
NIL									

**Note:**

Certain shares of the company held by investment vehicles regulated by the RBI are exempted from the applicability of the Companies (Significant Beneficial Owners) Rules, 2018.

*Sanmukt*

